HATHITRUST
A Shared Digital Repository

Program Steering Committee Update
ConCon Ballot Initiatives Update

HathiTrust 2014 Members Meeting

10 October 2014
Bob Wolven
Chair, PSC

Program Steering Committee

- Established as part of new governance structure.

- Initial members appointed May 2013.

- First in-person meeting September 2013.

- Two new members appointed May 2014.

- Website: http://www.hathitrust.org/psc

PSC Charge

- Reviews HathiTrust's development agenda, shaping initiatives and strategies for Board discussion and decision-making.

- Recommends alterations in the development agenda based on such reviews.

- Develops position papers for the member community to encourage debate or mobilize discussion with regard to particular issues.

- Works with the Board of Governors to develop policies for HathiTrust and its members..

Membership

8-12 members appointed by the Board for 2-year terms

Current members

>Ivy Anderson (Calfornia Digital Library)

>John Butler (University of Minnesota)

>Chris Freeland (Washington University)

>Todd Grappone (University of California, Los Angeles)Martha Hruska (University of California, San Diego)

>Martin Kurth (New York University)

>Erika Linke (Carnegie Mellon University)

>Robert McDonald (Indiana University)

>Matthew Sheehy (Harvard University)

>Elaine Westbrooks (University of Michigan)

>Bob Wolven, Chair (Columbia University)

PSC Actions 2013-2014

- Initial focus on Constitutional Convention Ballot Initiatives

- Re-established Collections Committee

- Created Rights & Access Working Group

- Charged Zephir Advisory Group

Collections Committee

- Responsible for collection development activities and policies

- Charged with making key recommendations about the content included in HathiTrust.
  - Collection development
  - Prioritization for new content types
  - Collection management tools and analytics

Collections Committee

- **Current members and terms**
- Ivy Anderson, Chair and PSC Liaison (CDL), 2014 - 2015
- Sharon Farb (UCLA), 2014
- Dan Hazen (Harvard University), 2014
- Carmelita Pickett (Texas A&M University), 2014
- Bryan Skib (University of Michigan), 2014
- Claire Stewart (Northwestern), 2014
- Tom Teper (University of Illinois), 2014 – 2015
- Ann Thornton (NYPL), 2014

Rights and Access Working Group

- **Responsibilities:**
- Become familiar with previous work to open access to HathiTrust materials.
- Support sustainability planning for the CRMS project.
- Clarify priorities for copyright review of specific materials, such as serials, state documents, and publications in specific languages. May propose pilot studies to assess feasibility of these reviews.
- Develop a strategy for engaging rights holders to encourage them to open access to their materials. Working with the Collections Committee, identify sets or collections of publications to target for inclusion.
- Develop criteria to evaluate potential open access publishing partnerships.

Rights and Access Working Group

- **Membership**
- Elaine L Westbrooks, Associate University Librarian for Research, University of Michigan (Chair)
- Kyle Courtney, Copyright Advisor, Harvard University
- Sharon Farb, Associate University Librarian for Collection Management and Scholarly Communication, UCLA
- Joseph Hafner, Associate Dean, Collection Services, McGill University
- Melissa Levine, Lead Copyright Officer, University of Michigan
- Monica McCormick, Program Officer for Digital Scholarly Publishing, New York University
- Kevin Smith, Director, Copyright and Scholarly Communication, Duke University

Zephir Advisory Group

- Zephir: "the infrastructure and processes that comprise the bibliographic metadata management service offered by the University of California for HathiTrust."

- ZAG:
  - recommends new features and service enhancements to Zephir
  - serves in a consulting capacity … to address operational considerations.

Constitutional Convention

Ballot Proposals, Oct. 2011

- 5 Passed
  - Establish a stable and effective governance structure
  - Expand and enhance access to U.S. federal publications
  - Establish a distributed print monograph archiving program
  - Formalize a transparent process for … development initiatives
  - Develop and vet a fee-for-service model

Governance Structure

- Ballot proposal specified:
  - Composition of Board of Governors
  - 5-member Executive Committee
  - BoG to develop Bylaws for ratification by members
  - BoG to "create committees and working groups to carry out the Trust's work and to develop strategies and priorities"

Government Documents Initiative Planning & Advisory Working Group

- Mark Sandler, Chair (CIC);
- Prue Adler (ARL);
- Ivy Anderson (CDL);
- Joni Blake (GWLA);
- Kirsten Clark (Univ. of Minnesota);
- Rick Clement (Univ. of New Mexico);
- Elizabeth Cowell (UC Santa Cruz);
- Michael Norman (Univ. of Illinois);
- Mark Phillips (Univ. of North Texas);
- Jon Rothman (Univ. of Michigan);
- JudyRussell (Univ. of Florida);
- Barbie Selby (Univ. of Virginia);
- Jeremy York (HathiTrust)

Print Monographs Archive Task Force

- Tom Teper, Chair (University of Illinois)
- Clem Guthro (Colby College)
- Robert Kieft (Occidental College)
- Erik Mitchell (University of California, Berkeley)
- Jake Nadal (ReCAP)
- Jo Anne Newyear Ramirez (University of British Columbia)
- Matthew Sheehy (Harvard University)
- Emily Stambaugh (California Digital Library)
- Karla Strieb (Ohio State)

Development Initiatives

- HathiTrust desires to encourage the contribution of HathiTrust partners in order to leverage the expertise and resources of those partners

- Development initiatives have the potential to inform and influence the Strategic Goals and Objectives

- Development initiatives endorsed by HathiTrust necessarily consume the finite human, financial and technical resources of HathiTrust and HathiTrust partners

Fee-for-Service

- HathiTrust has received many requests from institutions ... that wish to preserve digital content in HathiTrust without [becoming members]

- The inclusion of rare or unique materials has the potential to increase the value and usefulness of HathiTrust

- Whereas, HathiTrust has an existing fee model that is based on the size (i.e., in bytes) of data deposited ...

Ballot Proposals (cont'd)

1 Referred to Board

– Broaden Mission and Goals beyond print

– "[open] the door to consideration of the full range of *"digital assets of intellectual value to our scholars and the academic research enterprise"*

Looking Ahead
PSC in 2014-2015

Turning Talk into Action

- Respond to working group reports, Collections Committee proposals

- Define resource needs

- Oversight and monitoring of programmatic activities

Strategic Focus: 4 Areas

- Non-Text Formats

- Quality Assurance and Validation

- Services for Print Disabilities

- Metadata Strategies and Policies

Non-Text Formats

- Which formats?
  - Still images; time-based media; executable content; archival materials; web archives
- Needs and priorities
  - Current members; potential members
  - Preservation? Access? Both?
- Technical considerations
  - Development costs; systems architecture; storage and preservation
  - Rights management; metadata standards & indexing; user interface
- Operational considerations

Quality Assurance and Validation

- (Recent) Past and Present: Gating ingest

- Aspects of quality:
  - Image legibility; image completeness; OCR; metadata; mark-up

- Impacts on programs and services:
  - User access; usability; replacement for print; textual analysis

- Potential for item certification program

- Avenues for remediation

Services for Print Disabilities

- Current status:
  - Mediated access through proxy
  - Eligible patrons at member institutions
  - Based on overlap with print holdings
- Issues to explore:
  - How much can be done within the law?
  - How broad is the need? What's the potential impact?
  - Opportunities for partnerships
  - What's needed to support direct authentication?

Metadata Strategies

- Multiple types of metadata
  - Bibliographic; rights; technical and preservation
  - Member print holdings
  - US documents registry

- Issues to be considered
  - Integration across sources
  - Maintenance and enhancement
  - Use and sharing
  - Impact on programs and services

Thank you!