



HATHITRUST

A Shared Digital Repository

U.S. Federal Government Documents Program Update

Heather Christenson

Program Officer

U.S. Federal Documents and Collections

Background

- ◆ 2011: Constitutional Convention, Ballot Measure

“facilitate collective action to create a comprehensive digital corpus of U.S. federal publications including those issued by GPO and other federal agencies”

- ◆ 2014: Program Steering Committee, Government Documents Initiative Planning and Advisory Working Group, Status Report and Recommendations

“...substantive and sustained action”

- ◆ 2016: U.S. Federal Government Documents Program

759,884 US federal government documents ->



U.S. Federal Government Documents Program

Expand & enhance digital access to U.S. federal publications in HathiTrust

- ◆ **Build a comprehensive HathiTrust digital collection** of federal documents that are distributed in print format as part of the Federal Depository Library Program.
- ◆ Ensure that the HathiTrust federal documents collection and services participate in and benefit from HathiTrust initiatives including **improved quality, shared print collection management, collection development, enhanced resource discovery, and broader access to scholarly materials.**
- ◆ **Solve shared problems related to identifying, cataloging, digitizing, organizing, preserving, hosting, and providing access** to all U.S. federal documents, not only those currently represented in HathiTrust.





United States Federal Government Documents Registry

All Fields

Search

Refine Results

HathiTrust Catalog

[Full View](#) (740,640)

[Limited](#) (90,626)

[Not In HathiTrust](#) (4,535,239)

Format

[Print](#) (4,119,575)

[Book](#) (3,106,858)

[Journal/Newspaper](#)
(1,772,657)

[Online](#) (1,489,290)

[Microform](#) (730,189)

[more formats](#) ↓

Publication Date

[1789 - 1799](#) (10,442)

[1800 - 1809](#) (8,956)

[1810 - 1819](#) (67,854)

United States Government Documents Registry

This is a beta release of the HathiTrust US Federal Government Documents Registry. The Registry is intended to include item-level metadata for the comprehensive corpus of U.S. federal documents produced from 1789 to the present. For more detailed background information, see [Creating a Registry of US Federal Government Documents](#). This is not a record of what can be found in the [HathiTrust Digital Library](#) - the scope is broader and includes materials in all formats.

This is a work in progress and we know that there are things that still need to be worked on, but we would appreciate your feedback.

Please focus your feedback on:

Search results:

- Was the display of results what you were expecting?
- Was the content of the results what you were expecting?
- Are there any obvious gaps in content you noticed?
- Any obvious duplicate records?

Record display:

- Was there any information you were expecting that wasn't available?
- Were there any confusing labels?

Overall:

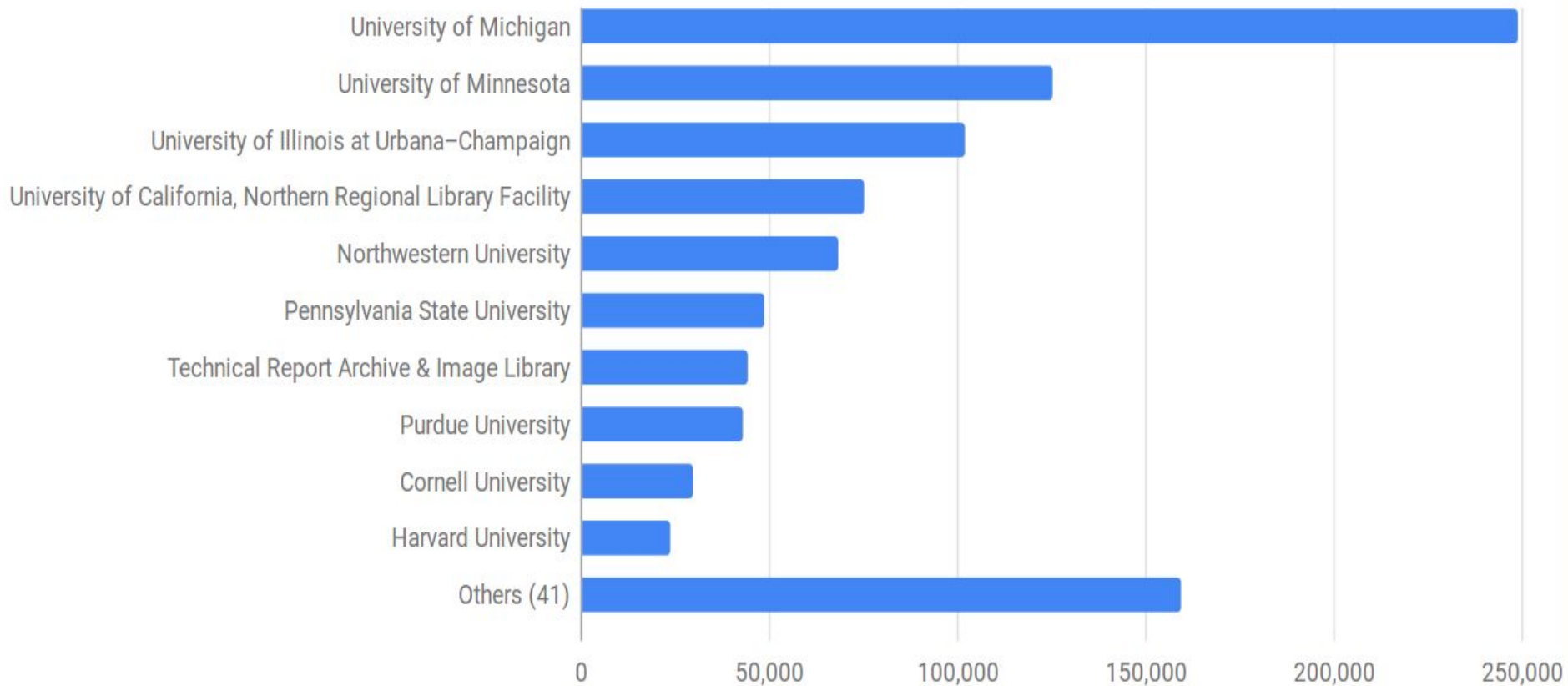
- Is there any functionality you consider necessary that is currently unavailable?

Collection profile

- ◆ Produce an initial internal profile report to be distributed to partners/stakeholders
- ◆ Establish baseline metrics
- ◆ Enable HathiTrust staff to identify opportunities for collaborative collection building and refinement
- ◆ Gain experience towards figuring out the best method for providing ongoing regular updates of the descriptive analysis of collections



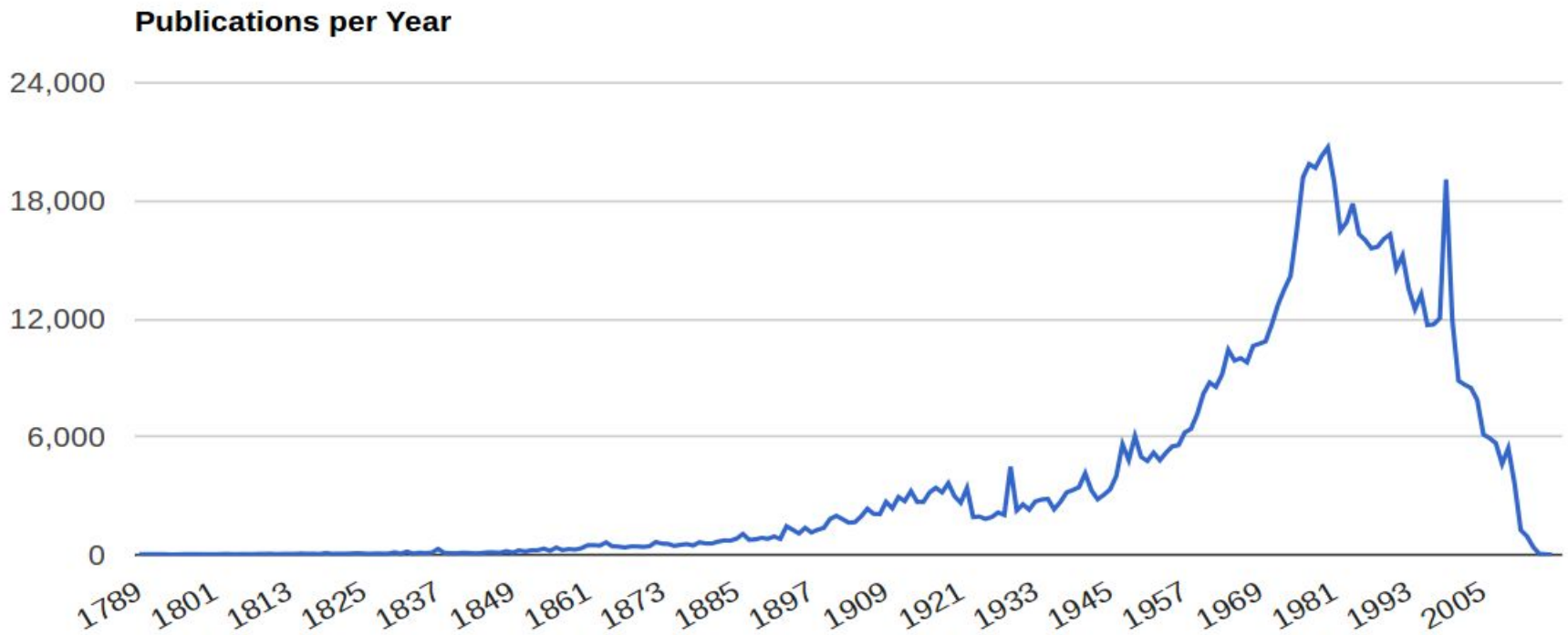
Contributors of federal documents



Volumes by SuDoc Number

SuDoc	Agency	Vols
Y 4	Congress	78756
Y 3	Congress	20933
A 13	Agriculture	12546
NAS 1	National Aeronautics and Space Administration	9892
I 28	Interior Department	9277
Y 1	Congress	9136
C 3	Commerce Department	6947
HE 20	Health and Human Services Department	5942
GA 1	Government Accountability Office	5874
I 19	Interior Department	4848
D 101	Defense Department	4793
S 1	State Department	4335
A 1	Agriculture	4270
C 13	Commerce Department	3882
ED 1	Education Department	2765

Publications by year



Comprehensive?

	Series	# Items in HT	# Items in Registry	% Comprehensiveness
1	Congressional Record	28	11,266	0.25
2	Statistical Abstract	133	230	57.83
3	United States Reports	1,136	2,517	45.13
4	Foreign Relations	1,020	6,830	14.93
5	Congressional Serial Set	15,992	81,431	19.64
6	Civil Rights Commission	870	3,078	28.27
7	Economic Report Of The President	30	144	20.83

HathiTrust Federal Documents Advisory Committee (FDAC)

<p>Kirsten Clark Director, Access and Information Services University of Minnesota</p>	<p>Judy Russell Dean of University Libraries University of Florida</p>
<p>Michael Norman Head of Content Access Management University of Illinois</p>	<p>Barbie Selby Director of Information Services University of Virginia</p>
<p>Prue Adler Associate Executive Director, Federal Relations and Information Policy, Association of Research Libraries</p>	<p>Ivy Anderson Director of Collection Development and Management California Digital Library (Program Steering Committee Liaison)</p>
<p>Beth Dupuis Associate University Librarian for Educational Initiatives and User Services & Director of Doe, Moffitt, and the Subject Specialty Libraries University of California, Berkeley</p>	<p>Heather Christenson Program Officer for Federal Documents & Collections HathiTrust (Non-voting Chair)</p>



U.S. Federal Government Documents Program Plan

◆ Build the collection

- Establish collection development framework
- Assess current state of the collection and establish reporting
- Coordinate digitization and develop collaborative digitization projects
- Address already digitized and born digital documents

◆ Improve discovery & access

- Open up more documents via improved bibliographic data and rights review
- Shared print commitments for monographs
- Improve user experience
- Ensure quality
- Build specific collections using Collection Builder
- Explore possibilities for engagement with Research Center

◆ Communications & community

- Establish strategic communications
- Develop projects to engage the community



Thank You

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HATHITRUST


A Shared Digital Repository

Shared Print Program Update

Lizanne Payne

Program Officer, Shared Print Initiatives

Goals of the HathiTrust Shared Print Program



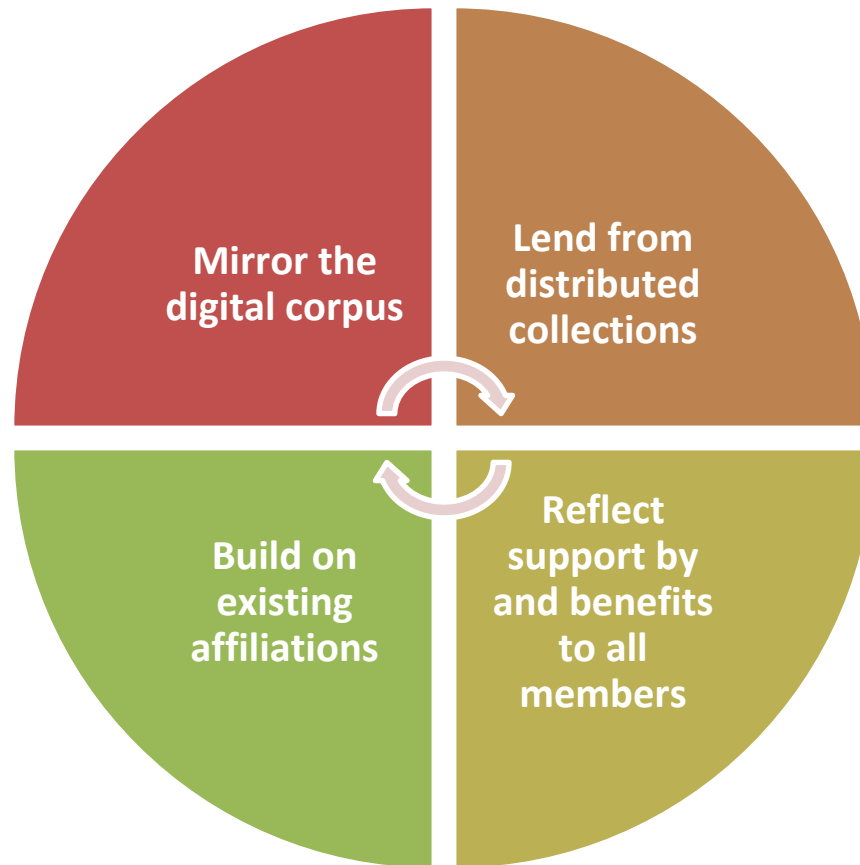
Link preservation of
the HathiTrust
Digital Library and
corresponding print
collections

Reduce overall costs
of collection
management for
HathiTrust members

Catalyze
national/continental
efforts to manage
the “collective
collection”



Key Attributes of the HathiTrust Shared Print Program



HathiTrust Shared Print Program Phases

Phase 1: Quick Launch

Build momentum

Finalize policies &
MOU

Identify initial
retentions

2016-2017

55 libraries
in Phase 1!

Phase 2: Infra- structure

Build infrastructure

Adopt tools for
collection analysis
and
resource-sharing

Plan next priorities
coordinate with
digitizing

2018--



HathiTrust Shared Print Advisory Committee (SPAC)

<p>Clement Guthro Director of Libraries Colby College</p>	<p>Emily Stambaugh Shared Print Manager California Digital Library</p>
<p>Jacob Nadal Executive Director Research Collections and Preservation Consortium (ReCAP)</p>	<p>Karla Strieb Associate Director for Collections, Technical Services, and Scholarly Communication The Ohio State University</p>
<p>Ken Peterson Interim Associate University Librarian for Research and Education Harvard University</p>	<p>Thomas Teper Associate University Librarian for Collections University of Illinois, Urbana-Champaign</p>
<p>Matthew Revitt Maine Shared Collection Librarian Maine Shared Collections Cooperative (MSCC) Eastern Academic Scholars' Trust (EAST)</p>	<p>Ben Walker Assistant Dean, Digital Services and Shared Collections University of Florida</p>
<p>Matthew Sheehy Interim University Librarian Brandeis University</p>	<p>Lizanne Payne Shared Print Program Officer, HathiTrust (non-voting chair)</p>



Many Libraries Participating in Shared Print Program Phase 1!

Arizona State University
Brandeis University
Brown University
Bryn Mawr College
Bucknell University
Carnegie-Mellon University
Claremont Colleges
Colby College
Columbia University
Cornell University
Duke University
Emory University
Georgia Tech University
Getty Research Institute
Harvard University
Haverford College
Indiana University
Iowa State University
Johns Hopkins University
Lafayette College
Massachusetts Institute of Technology

McGill University
New York Public Library
Northwestern University
Ohio State University
Princeton University
Swarthmore College
Tufts University
University of Alberta
University of Calgary
University of California, Irvine
University of California, Los Angeles
University of California, Merced
University of California, San Diego
University of California, Santa Cruz
University of California Northern
Regional Library Facility (NRLF)
University of California Southern
Regional Library Facility (SRLF)
University of Chicago
University of Delaware

University of Florida
University of Illinois, Urbana-Champaign
University of Iowa
University of Michigan
University of Minnesota
University of Missouri
University of Notre Dame
University of Pennsylvania
University of Queensland
University of Texas at Austin
University of Virginia
University of Washington
University of Wisconsin-Madison
Washington University in St. Louis
Vanderbilt University
Yale University

55 libraries!



Characteristics of Phase 1 Participating Libraries

Category	Description	Phase 1 Libraries
Size/type	Universities	48
	ARL members	39
	Colleges	7
Founding members	Big Ten Academic Alliance (former CIC)	10
	University of California system	7 inc 2 RLFs
Consortia	Association of Southeastern Research Libraries (ASERL)	7
	Eastern Academic Scholars' Trust (EAST)	6
	Greater Western Library Alliance (GWLA)	7
	Ivy Plus	9
Geography	East Coast	25
	Midwest/South/Southwest	14
	West Coast	11
	International (Canada, Australia)	4



Preliminary Analysis of Phase 1 Libraries' Monograph Overlap with HathiTrust

Category	# of Phase 1 Libraries' Single Part Monographs (SPM) that Match HathiTrust
Total matching title holdings	> 50 million (duplicated count)
Distinct titles (OCLC #s)	5.8 million (78% of ~7.4 million in HathiTrust)
Held by only one Phase 1 library	1.25 million (21% of distinct titles)
Held by >10 Phase 1 libraries	19,000

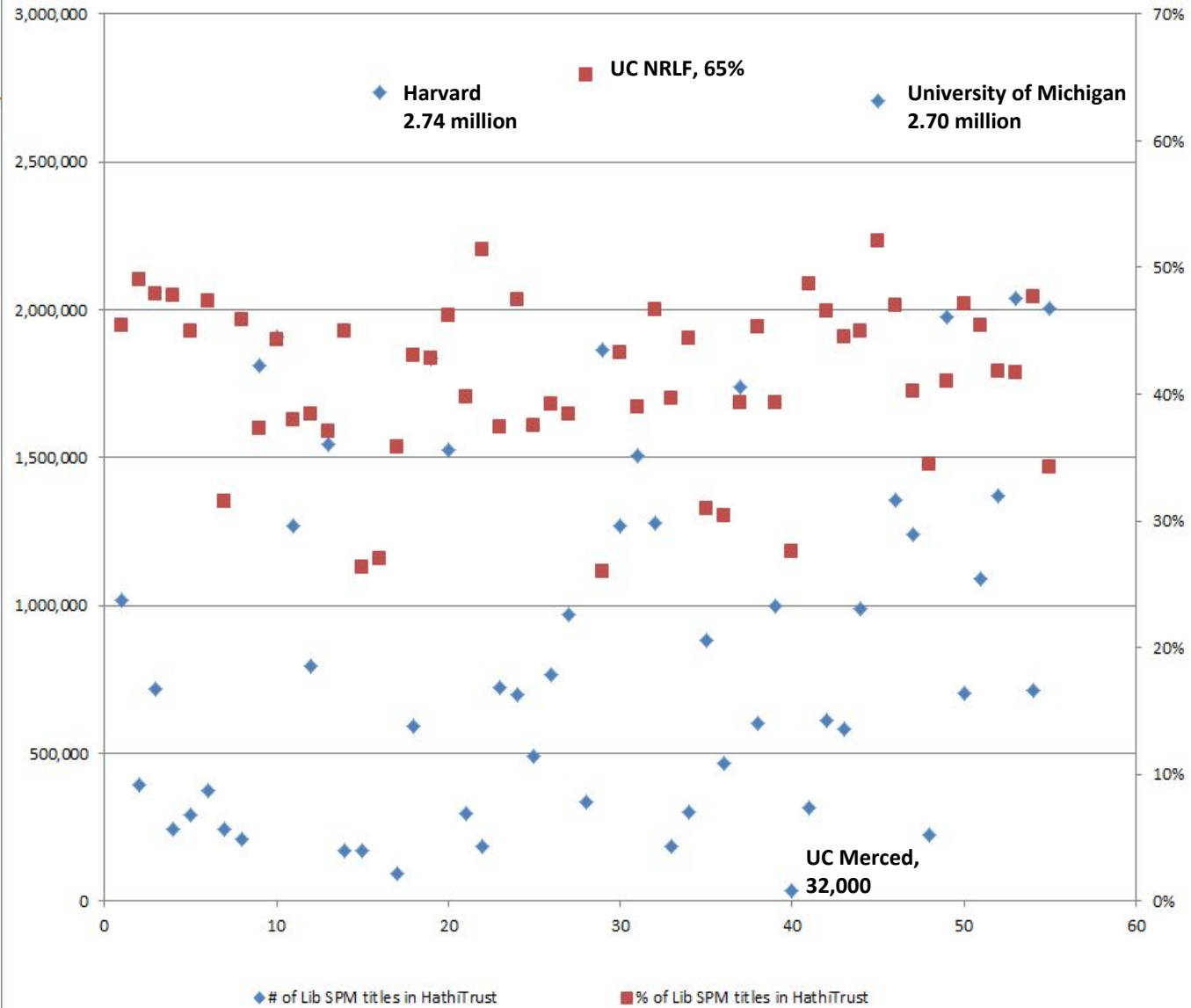
"Rarity is common"

Matched by OCLC Number

Number of Phase 1 libraries analyzed: 53



Shared Print Libraries Title Overlap with HathiTrust



Phase 1: Build on Existing Print Holdings Data to Propose Retention Commitments

Light-weight analysis and library-volunteered commitments

HathiTrust provides matching print holdings to libraries

Libraries use own criteria to determine which matching holdings to retain

Libraries review matching holdings

We may encourage commitments for “unique” holdings

Some libraries may propose existing commitments for other programs

Libraries export proposed commitments

No plans to prevent duplicates or secure desired number of copies in Phase 1

HathiTrust ingests, updates Shared Print Registry



Next Steps

- Fall-Winter-Spring: SPAC drafts recommended policies/business model/MOU with input from Phase 1 libraries (surveys and SPAC liaisons)
- Winter-Spring: Phase 1 libraries review & identify proposed commitments
- Spring-Summer: Program Officer and SPAC submit final recommendations about policies/ business model/MOU to PSC and Board
- Summer:
 - Phase 1 libraries review final policies & MOU (once approved by Board)
 - Make commitment decision
 - Begin process to execute MOU

Phase 1 libraries are not expected to make any binding retention commitments until they have had a chance to review and agree to the final policies and MOU



Thank You

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