

HathiTrust Shared Print Program: Launching Phase 2

June 2018

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https://www.hathitrust.org/shared_print_program



HathiTrust Shared Print Phase 2 Orientation

1. Welcome to Phase 2 participants
2. Overview of the Shared Print Program and Phase 1
3. Plans for Phase 2
 - Process
 - Timetable
 - Our use of GreenGlass is different
4. What happens next?
5. Q&A



Welcome to Phase 2 Participants

- 57 HathiTrust member libraries have offered to participate as Retention Libraries in Phase 2
- 27 are new to the program (were not in Phase 1)
- 8 are new to HathiTrust (joined in 2017 or 2018)
- Total 75 member libraries are participating in Phase 1 or Phase 2 or both

As of June 15, 2018



57 libraries
27 new in Phase 2

Phase 2 Retention Libraries

(as of June 15, 2018)

- = new Retention Library in Phase 2

Allegheny College *	McGill University	University of Illinois, Urbana Champaign
Arizona State University	Northwestern University	University of Iowa
Boston University *	New York University *	University of Maryland *
Brigham Young University *	Ohio State University	University of Minnesota
Carleton College *	Oklahoma State University *	University of New Mexico *
Colby College	Pennsylvania State University *	University of North Carolina, Chapel Hill *
Columbia University	Princeton University	University of Notre Dame
CUNY Graduate Center *	Southern Methodist University *	University of Oklahoma *
Dartmouth College *	Temple University *	University of Pennsylvania
Dickinson College *	Tufts University	University of Pittsburgh *
Duke University	Texas State University *	University of Queensland
Emory University	Union College *	University of Tennessee, Knoxville *
Getty Research Institute	University of Alberta	University of Texas, Austin
Harvard University	University of Arizona *	University of Virginia
Indiana University	University of Calgary	University of Wisconsin-Madison
Iowa State University	University of California, Merced	University of Wyoming *
Johns Hopkins University	University of Florida	Wake Forest University *
Macalester College *	University of Georgia *	West Virginia University *
Massachusetts Institute of Technology	University of Houston *	Yale University



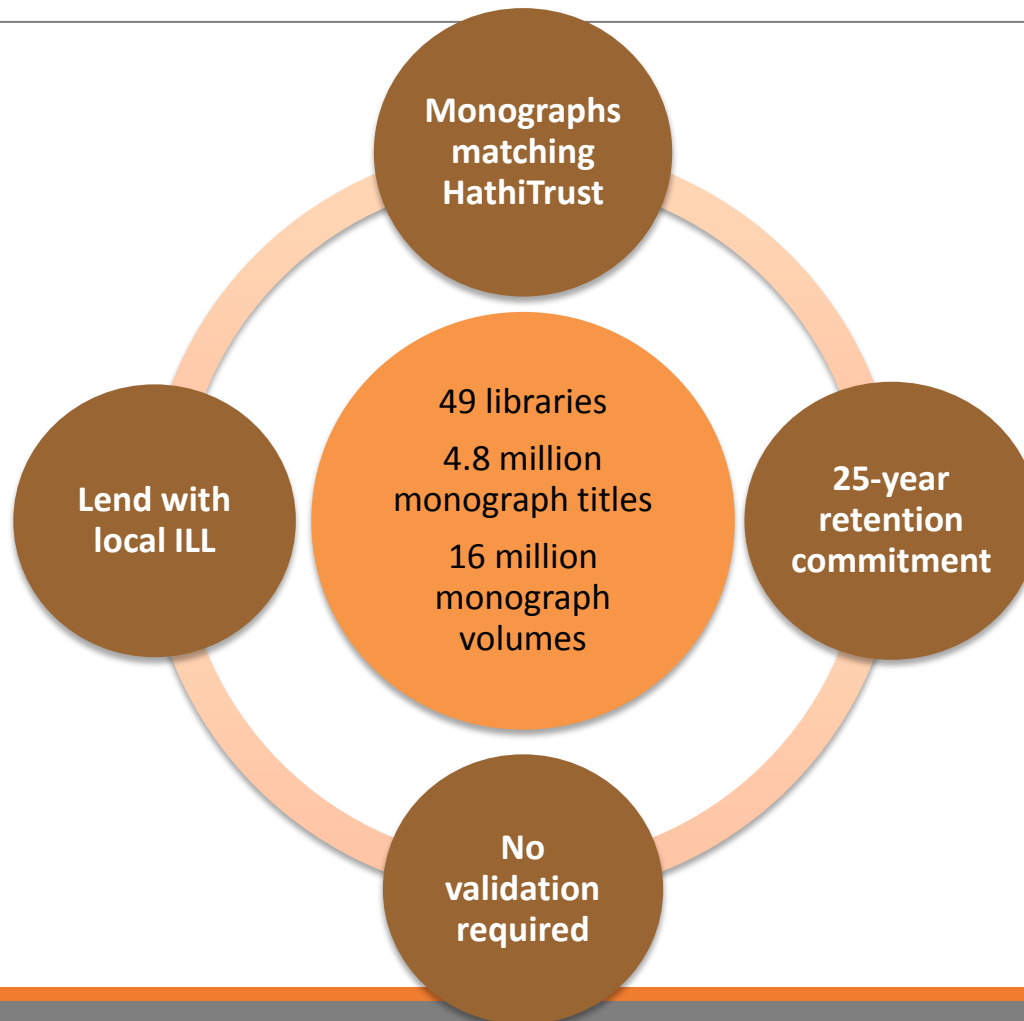
Key Attributes of the HathiTrust Shared Print Program

- Secure retention commitments for print holdings that mirror monograph titles in the HathiTrust digital collection
- Maintain a lendable print collection distributed among HathiTrust member collections
- Reflect support by and provide benefits to all HathiTrust members (not a subset). There are no fees to participate. Costs to administer the program are covered in the HathiTrust budget and included in member fees.
- Build on existing shared print and resource-sharing arrangements, avoid disturbing members' other affiliations



HathiTrust Shared Print Phase 1

Summer 2016 – December 2017 (18 months)



Major Policies

Scope: Monographs from circulating general collections that correspond to items in the HathiTrust Digital Library

Retention Period: Fixed date 12/31/2042 (25 years from 2017), also applies to future commitments

Environment: Storage facility preferred, campus shelving acceptable

Validation: Verification of holdings and condition encouraged but not required (desirable but not feasible at scale, consider future sampling)

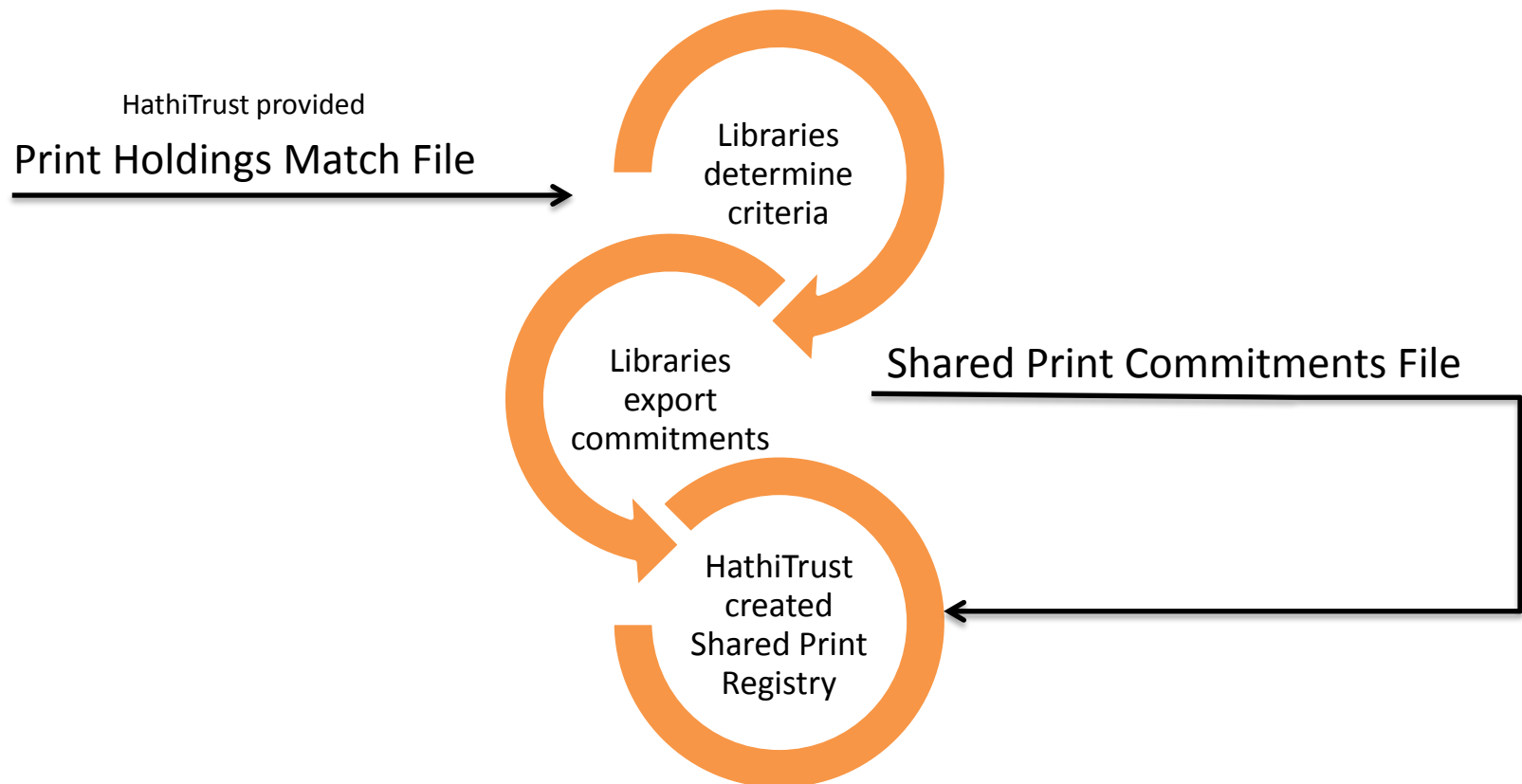
Disclosure: Record retention commitments in HathiTrust registry and local system; disclosure in OCLC WorldCat not currently required

Access: Loan retained volumes to other HathiTrust members; may use local ILL policies including fees (free lending desirable but difficult to implement)



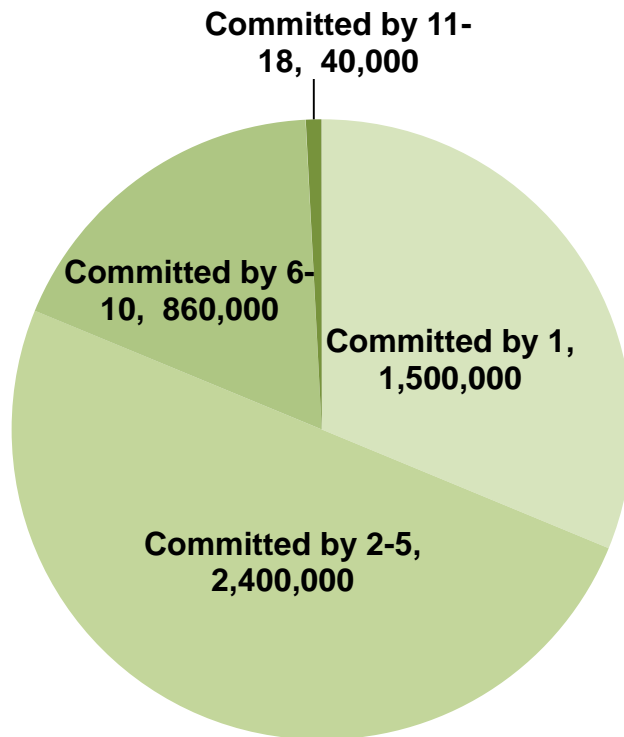
Phase 1 Lightweight Process to Identify Retention Commitments

No efforts to predefine desired number of copies nor to prevent duplication in Phase 1



Redundancy across HathiTrust Shared Print Commitments

No efforts to predefine desired number of copies nor to prevent duplication in Phase 1



**Total 4.8 million titles/OCNs
committed by any of 49 Retention Libraries**

31% committed by only 1 Retention Library
50% committed by 2 – 5 Retention Libraries
18% committed by 6 – 10 Retention Libraries
1% committed 11 -18 Retention Libraries



On to Phase 2!

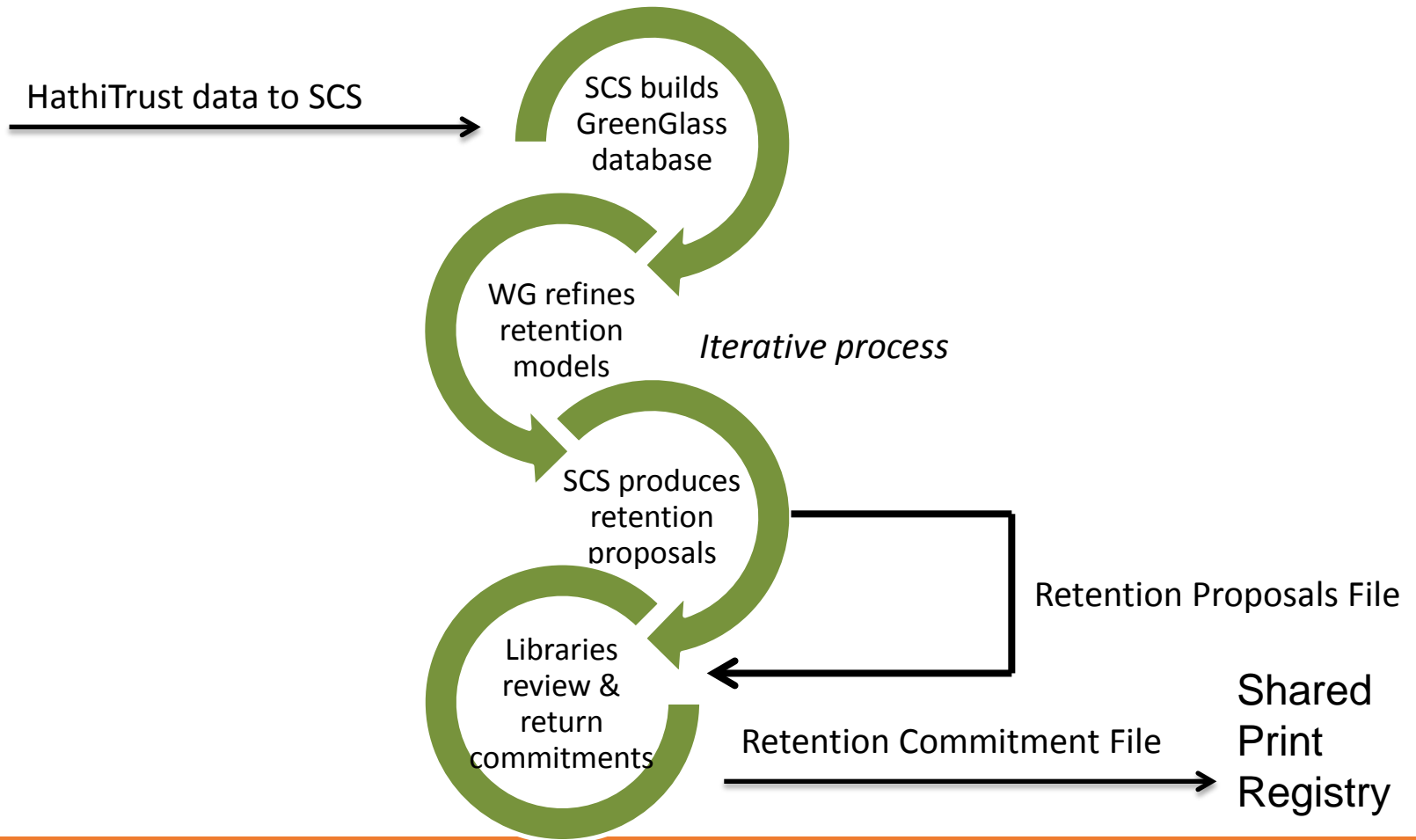


Plans for Shared Print Phase 2

- Secure retention commitments for remaining print holdings that mirror book titles in the HathiTrust digital collection that did NOT receive a retention commitment in Phase 1 (est 2.6 million titles)
- Continue to use existing print holdings data already provided to HathiTrust (no new data from member libraries)
- Conduct a more thoughtful analysis using OCLC Sustainable Collection Services (SCS) GreenGlass service
- Prioritize retention proposals based on criteria such as
 - overlap within and outside of HathiTrust
 - existing retention commitments by other libraries
 - desired number of copies



Phase 2 Process to Identify Retention Commitments



Retention Models

Retention Models are sets of criteria used to identify proposed retention commitments across the group. Multiple retention models may be used simultaneously.

Examples:

1. Retain 1 copy if there is only 1 copy in HathiTrust (AND the 1 copy is in a Phase 2 Retention Library)
2. Retain 1 copy if there are > 50 copies in HathiTrust AND this title has existing retention commitments at other non-HT libraries
3. Retain 2 copies if there are < 25 copies in HathiTrust and < 100 holders in WorldCat

Note: some of the EAST libraries are committing only their matching EAST commitments, outside of the retention models



HathiTrust Shared Print Program Phase 2 Timetable

Step	Est Completion
HathiTrust provides metadata to OCLC/SCS	Late June
SCS builds GreenGlass database	Mid-July - End of August (SCS estimates 6 weeks)
HT Working Group conducts overlap analysis & retention modeling (w/feedback from libraries)	September – October
HT/SCS propose retention commitments	November
Libraries confirm retention commitments	November - January
New Retention Libraries execute Shared Print Agreement	November – January ...



Our Use of OCLC/SCS GreenGlass Is Different

- HathiTrust will provide data to SCS (no data from the member libraries). SCS will gather the associated bibliographic records from WorldCat.
- Data will include ONLY holdings corresponding to the HathiTrust digital monographs that did NOT receive a retention commitment in Phase 1. This will be a relatively small subset of member library collections. **This is not a full collection analysis of member library collections.**
- There will be no item-level data (e.g. shelving location) nor circulation history data. Therefore, no ability to select retained items based on amount of circulation.
- Only a small project team will have direct access to GreenGlass. **Individual libraries will not be able to analyze their collections through this GreenGlass database** (and it only includes a small part of their collections).
- HathiTrust is paying a much lower fee because of the much-reduced functionality.



What happens next?

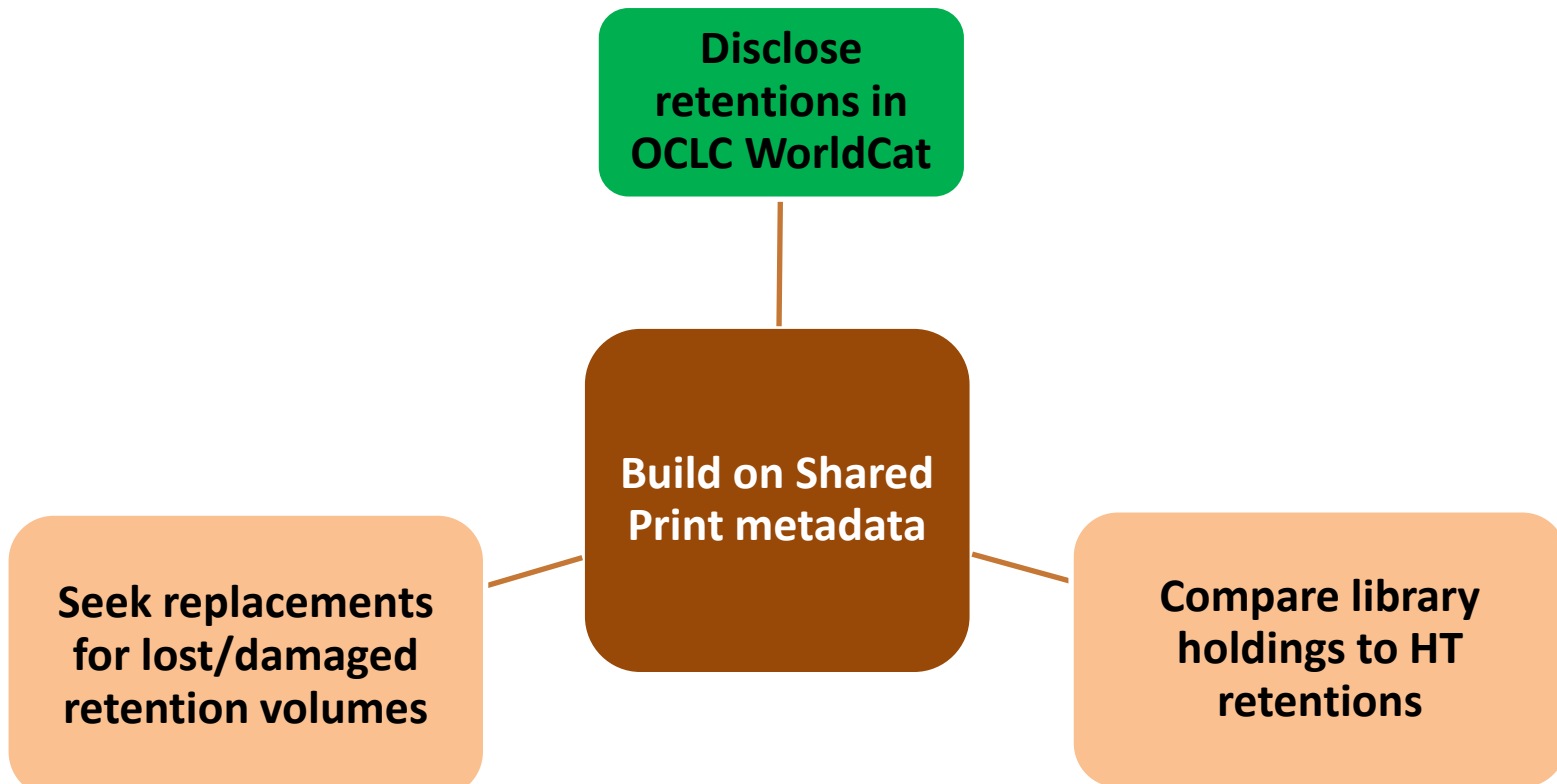


Near-term steps for library participation in Phase 2

- Volunteer for Retention Models Working Group (if interested): by June 22
- Provide feedback on proposed Retention Models (webinar):
September/October
- Review file of proposed retention commitments: beginning in November



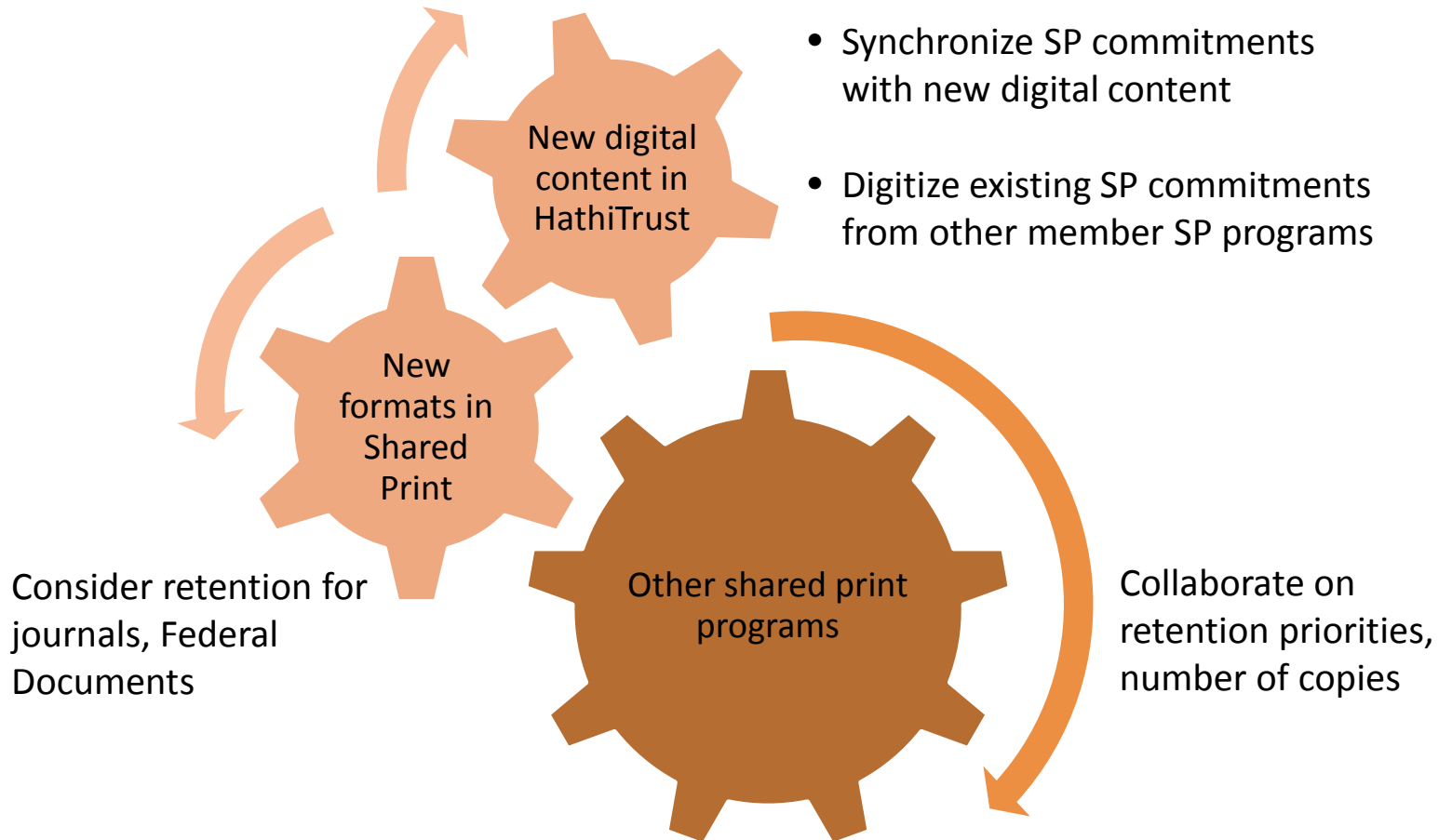
Exploring Services using HathiTrust Shared Print Metadata



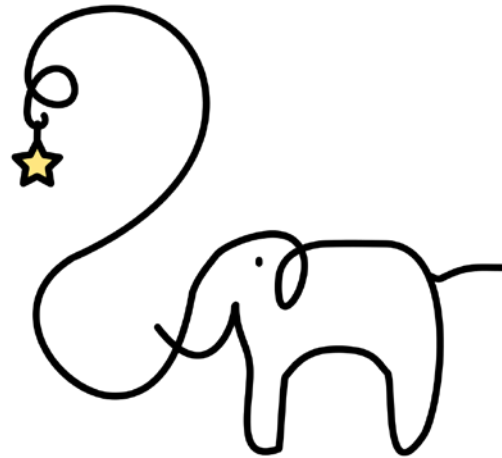
*Capabilities being developed
by HathiTrust*



Phase 3: Some Possibilities for 2019 and Beyond



Questions and Thank you!



https://www.hathitrust.org/shared_print_program

