
HathiTrust Shared Print Program Update

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Tuesday, July 16, 2019



Interacting During the Webinar



- Use chat window to ask questions
- Slides and recording will be shared afterwards



Today's Plan

1. Quick HathiTrust Shared Print Program Overview
2. Phase 2 Recap and Results
3. Shared Print Program Today
4. Future
5. Questions



HathiTrust Shared Print Program



Goal of HathiTrust Shared Print Program

The primary goals of the HathiTrust Shared Print Program are to ensure preservation of print and digital collections by linking the two, to reduce overall costs of collection management for HathiTrust members, and to catalyze national/continental collective management of collections.

Key Attributes:

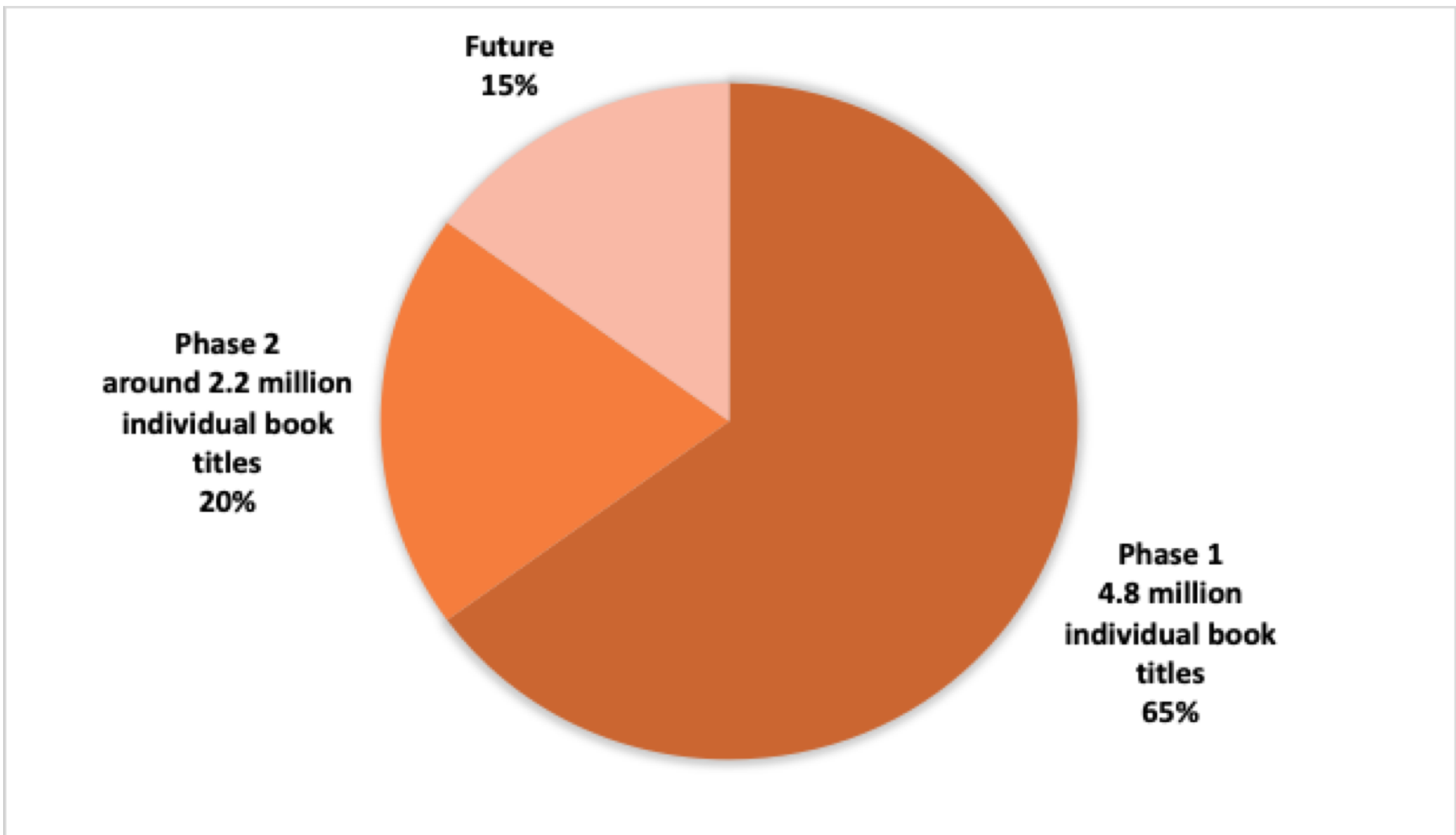
- Secure retention commitments for print holdings that mirror book titles in the HathiTrust digital collection
- Maintain a lendable print collection distributed among HathiTrust member collections
- Reflect support by and provide benefits to all HathiTrust members
- Build on existing shared print and resource-sharing arrangements and avoid disturbing members' other affiliations



HathiTrust Shared Print Phase 2 Recap and Results



Hopes for Phase 2



Process For Phase 2 vs. Phase 1

Phase 1

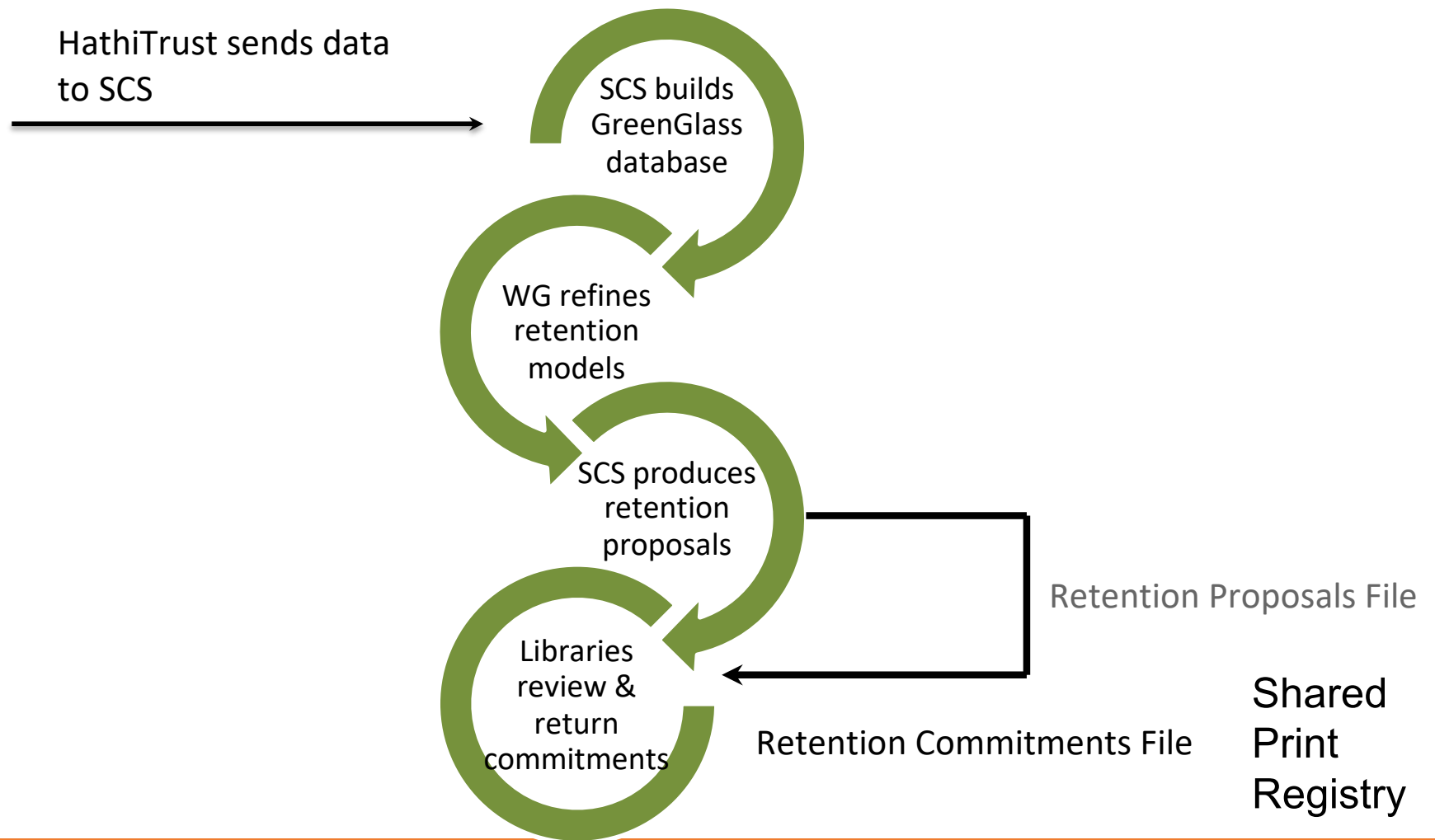
- Focus on quick launch through member volunteers

Phase 2

- Thoughtful collection analysis using OCLC Sustainable Collection Services (SCS) GreenGlass service.



Phase 2 Process to Identify Retention Commitments



Phase 2 Retention Models Working Group

- Starting in June 2018 the Working Group was developed to define criteria to prioritize retention proposals for Phase 2 using GreenGlass.
- The working group consisted of members of the Shared Print Advisory Committee* plus 6 additional members.

Matthew Sheehy, Brandeis University*
Tom Teper, University of Illinois, Urbana-Champaign*
Dan Heuer, Bucknell University*
Matthew Revitt, Maine Shared Collections and EAST*
Steve Smith, Boston University
Mary Miller, University of Minnesota
Ivy Anderson, California Digital Library*
Nicole Sump-Crethar, Oklahoma State University
Ken Peterson, Dartmouth College*
Ginger Williams, Texas State University
Jeff Kosokoff, Duke University
Heather Weltin, University of Wisconsin*
Ben Walker, University of Florida*
Daniel Dollar, Yale University*
Marilyn Myers, University of Houston



Retention Models Working Group Decisions

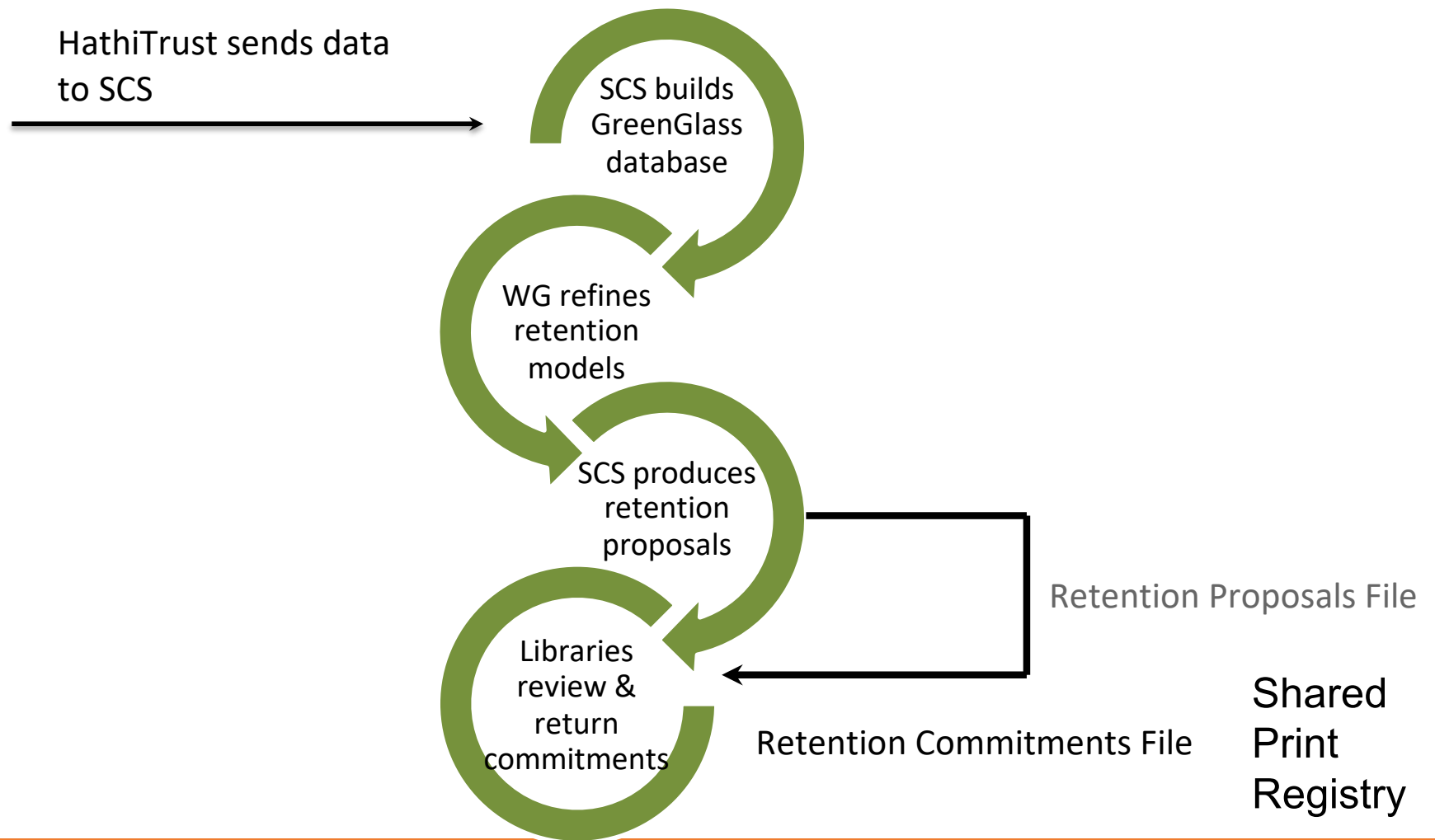
The Working Group Proposed to use the retention model of "Retain up to 5 (same edition)" and work with SCS to aim for geographic distribution of proposed retentions based on the Census regions.

Description	Total
Distinct titles (OCNs)*	1,262,535
Holdings	2,853,013

* Monograph titles (OCNs) held by any of the Phase 2 Retention Libraries where the title is in HathiTrust and did NOT receive a retention commitment in Phase 1



Phase 2 Process to Identify Retention Commitments



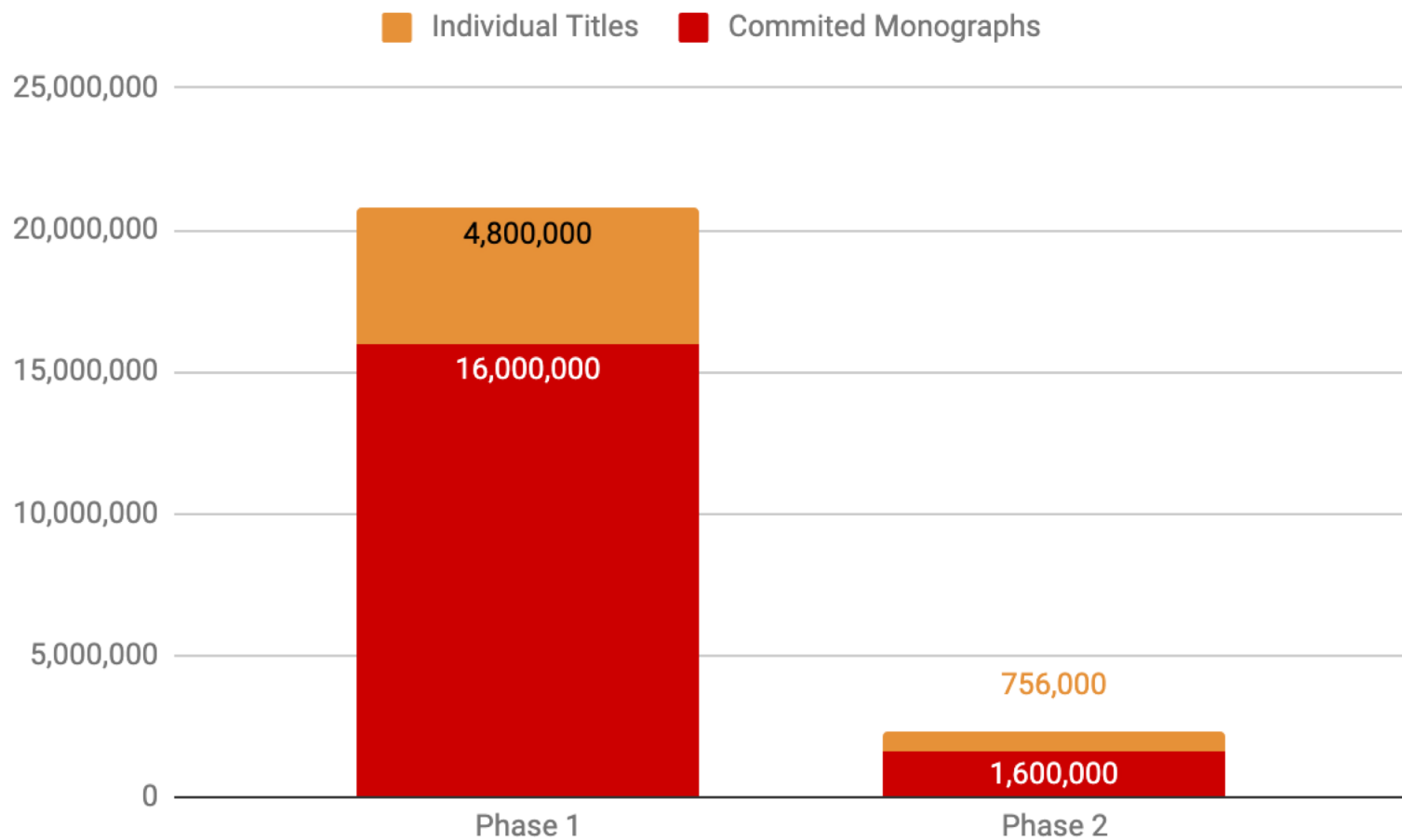
Phase 2 Retention Libraries

- Allegheny College*
- Arizona State University
- Boston University*
- Brigham Young University*
- Carleton College*
- Colby College
- Dartmouth College*
- Dickinson College*
- Duke University
- Emory University
- Getty Research Institute
- Indiana University
- Iowa State University
- Johns Hopkins University
- Macalester College*
- Massachusetts Institute of Technology
- McGill University
- New York University*
- North Carolina State University*
- Northwestern University
- Ohio State University
- Oklahoma State University*
- Pennsylvania State University*
- Southern Methodist University*
- Temple University*
- Texas State University*
- Tufts University
- Union College*
- University of Alberta
- University of Arizona*
- University of British Columbia*
- University of Calgary
- University of California, Merced
- University of Delaware
- University of Florida
- University of Georgia*
- University of Houston*
- University of Iowa
- University of Maryland*University of Minnesota
- University of Notre Dame
- University of Oklahoma*
- University of Pennsylvania
- University of Pittsburgh *
- University of Queensland
- University of Rochester*
- University of Tennessee, Knoxville*
- University of Texas, Austin
- University of Toronto
- University of Virginia
- University of Wisconsin-Madison
- University of Wyoming*
- Wake Forest University*
- West Virginia University*
- Yale University

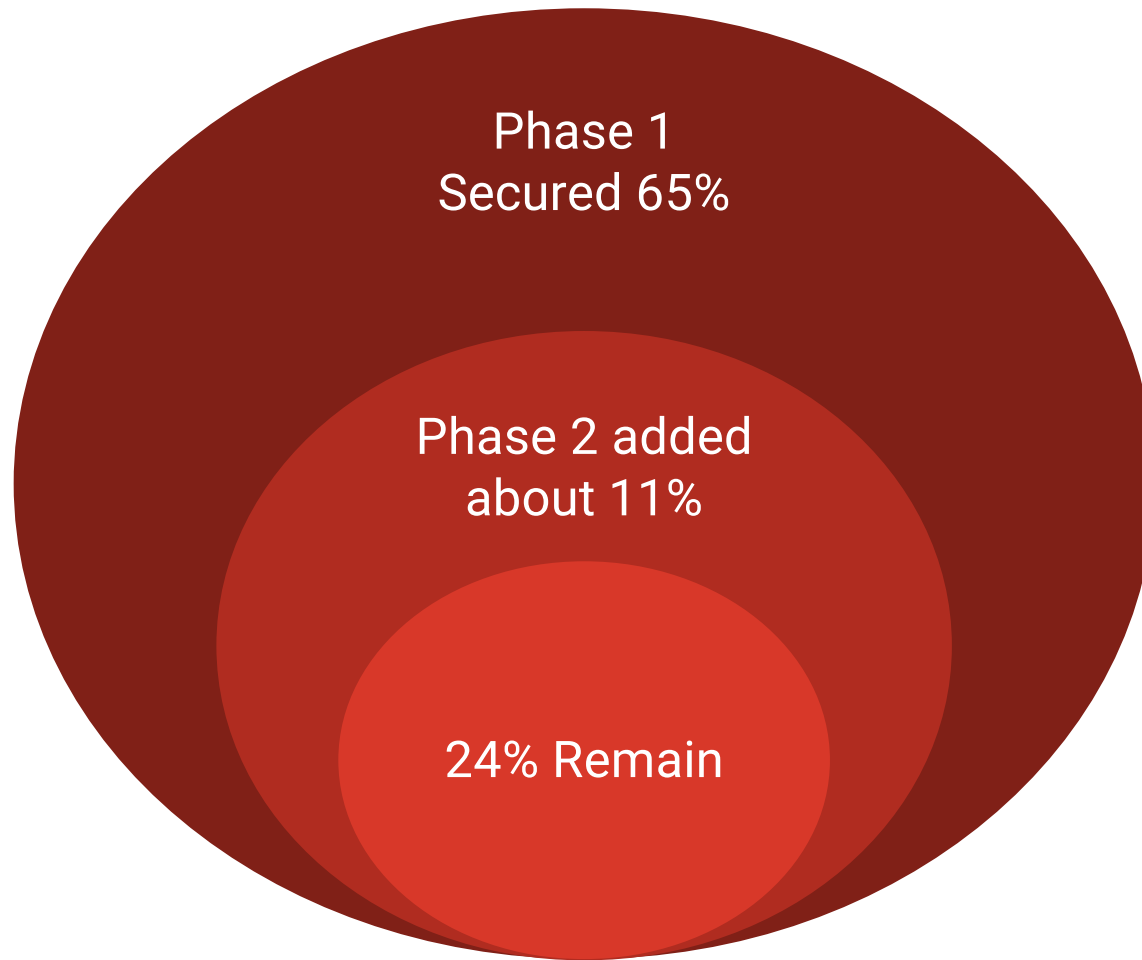
(* = New Retention Library in Phase 2)



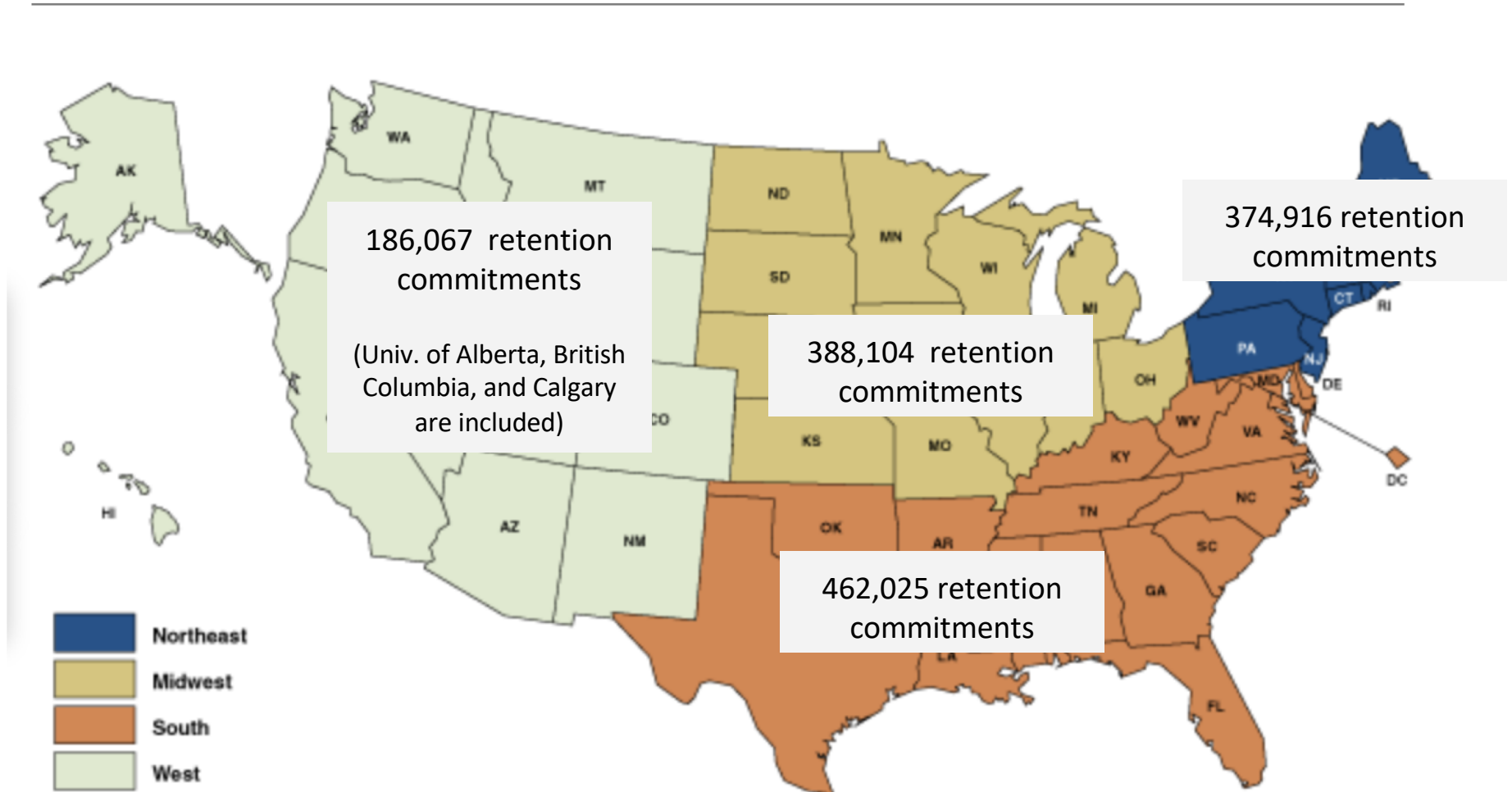
Phase 2 versus Phase 1



Overlap of HathiTrust Digital Library Monographs



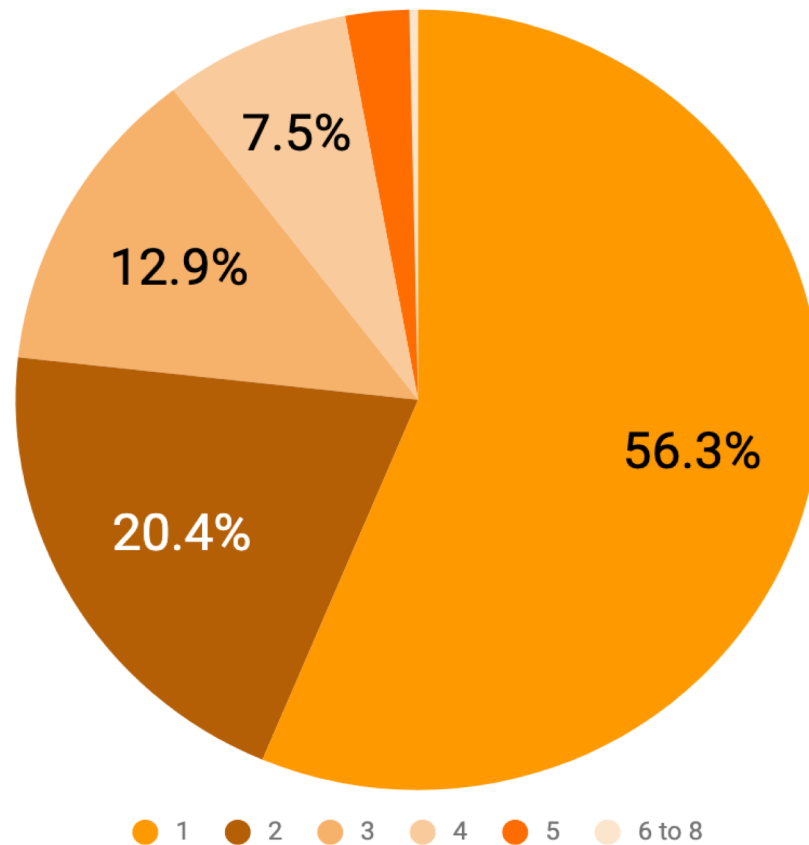
Phase 2 U.S. Census Region Distribution



Source <https://nces.ed.gov/nationsreportcard/hsts/tabulations/regions.asp>



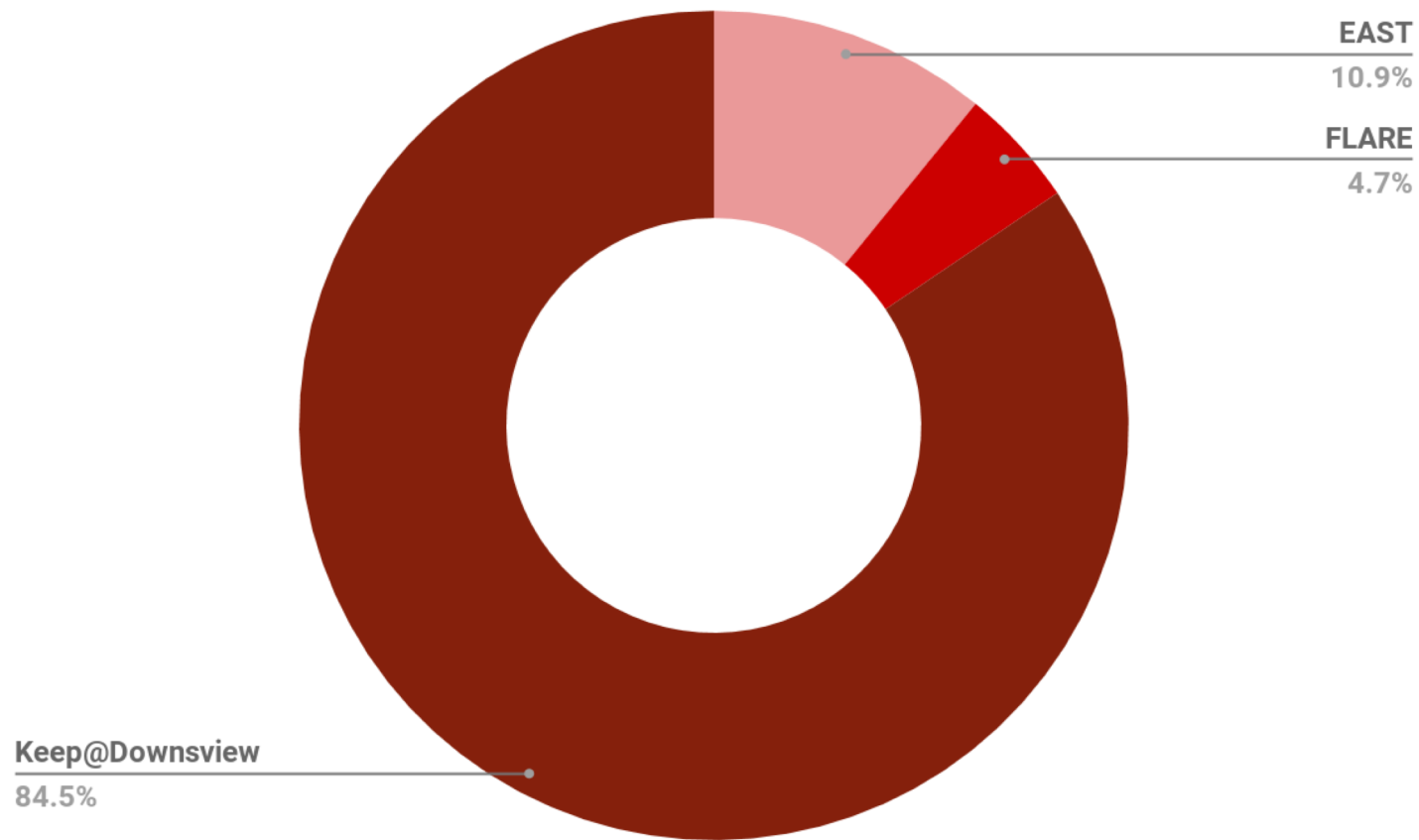
Phase 2 Number of Libraries Committed to Retain a Title



Number of Libraries	Number of Titles
1	469,630
2	170,178
3	107,189
4	62,570
5	21,313
6 to 8	2,946



Phase 2 Overlap with Other Shared Print Programs



HathiTrust Shared Print Program Overall



Shared Print Program Participants

(new to Phase 2 indicated with *)

Allegheny College*	Northwestern University	University of Georgia*
Arizona State University	Ohio State University	University of Houston*
Boston University*	Oklahoma State University*	University of Illinois, Urbana-Champaign
Brandeis University	Pennsylvania State University*	University of Iowa
Brigham Young University*	Southern Methodist University*	University of Maryland*
Brown University	Swarthmore College	University of Michigan
Bryn Mawr College	Syracuse University	University of Minnesota
Carleton College*	Temple University*	University of Missouri
Claremont Colleges	Texas State University	University of Notre Dame
Colby College	Tufts University	University of Oklahoma*
Dartmouth College*	Union College*	University of Pennsylvania
Dickinson College*	University of Alberta	University of Pittsburgh
Duke University	University of Arizona*	University of Queensland
Emory University	University of British Columbia*	University of Rochester*
Georgia Tech University	University of Calgary	University of Tennessee, Knoxville*
Getty Research Institute	University of California, Merced*	University of Texas at Austin
Indiana University	University of California, San Diego*	University of Toronto
Iowa State University	University of California, Santa Cruz	University of Virginia
Johns Hopkins University	University of California Northern Regional Library Facility (NRLF)	University of Washington
Lafayette College	University of California Southern Regional Library Facility (SRLF)	University of Wisconsin-Madison
Macalester College*	University of Chicago	University of Wyoming*
Massachusetts Institute of Technology	University of Delaware	Wake Forest University*
McGill University	University of Florida	Washington University, St. Louis
New York University*		West Virginia University*
North Carolina State University*		Yale University

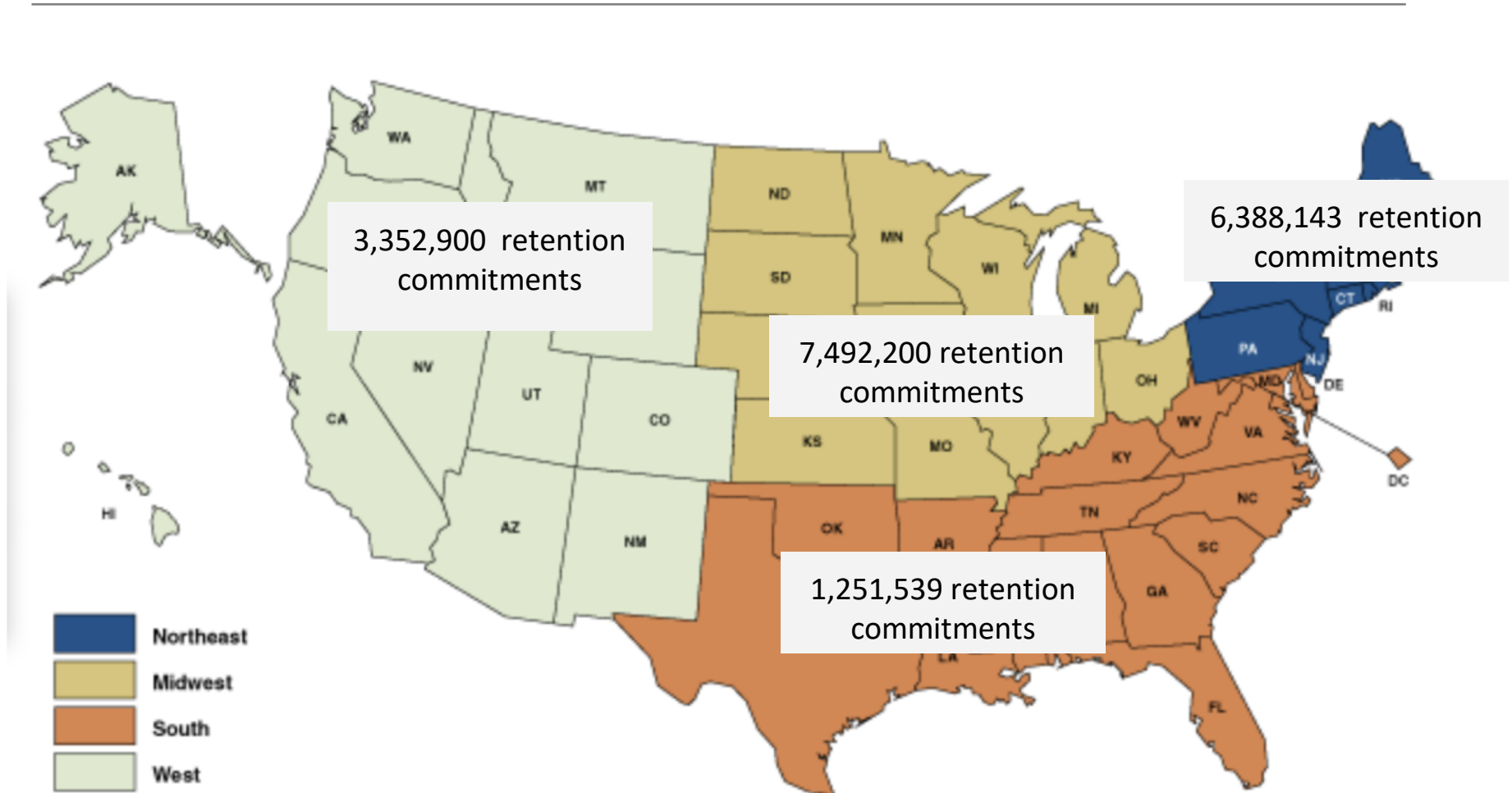


Quick Statistics

- 17,889,303 single-part monographs
- 5,476,598 individual titles
- Phase 1:
 - 16 million monographs
 - 4.8 million individual titles
- Phase 2:
 - 1.5 million monographs
 - Around 750,000 individual titles



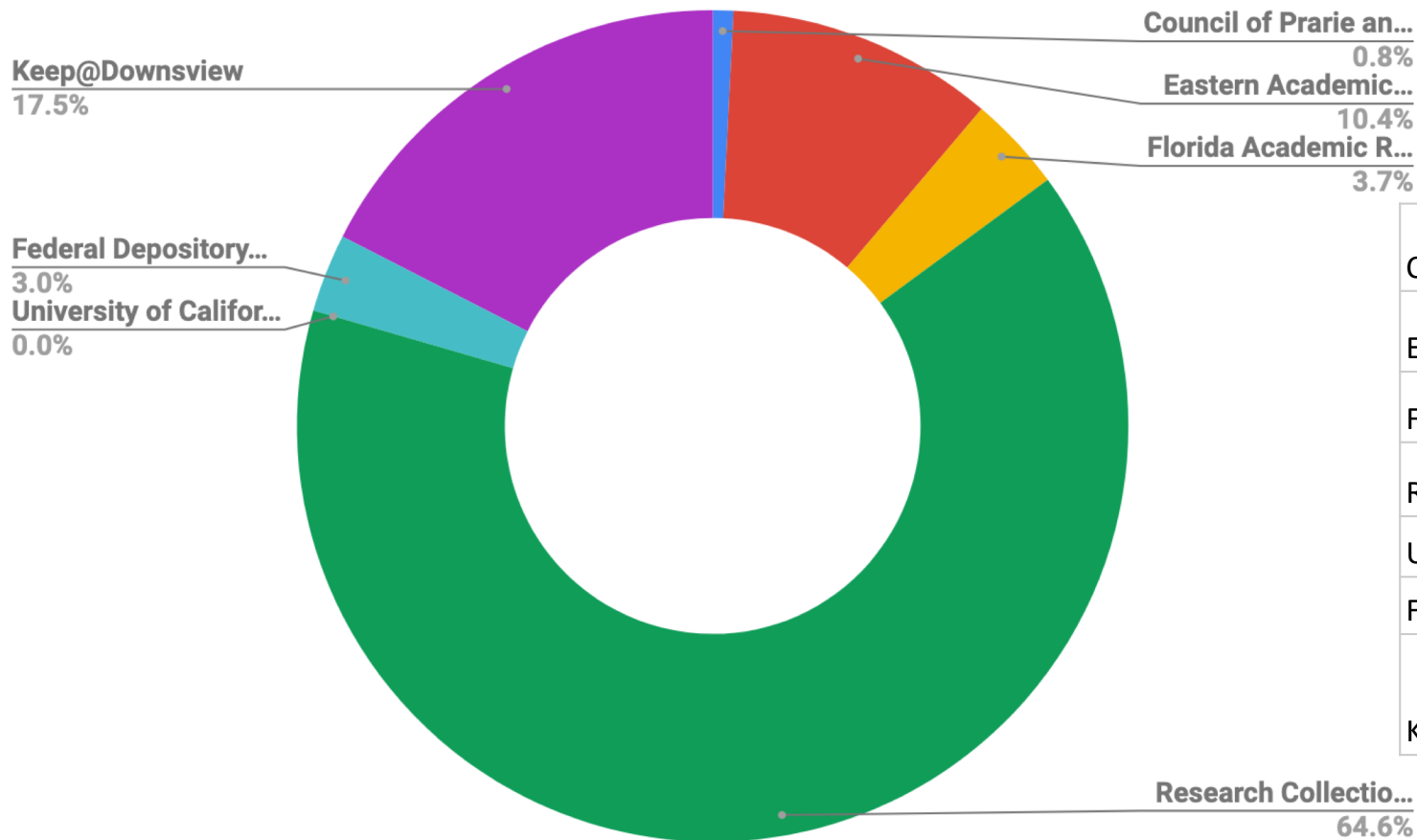
Phase 2 U.S. Census Region Distribution



Source <https://nces.ed.gov/nationsreportcard/hsts/tabulations/regions.asp>



Overlap with Other Shared Print Programs

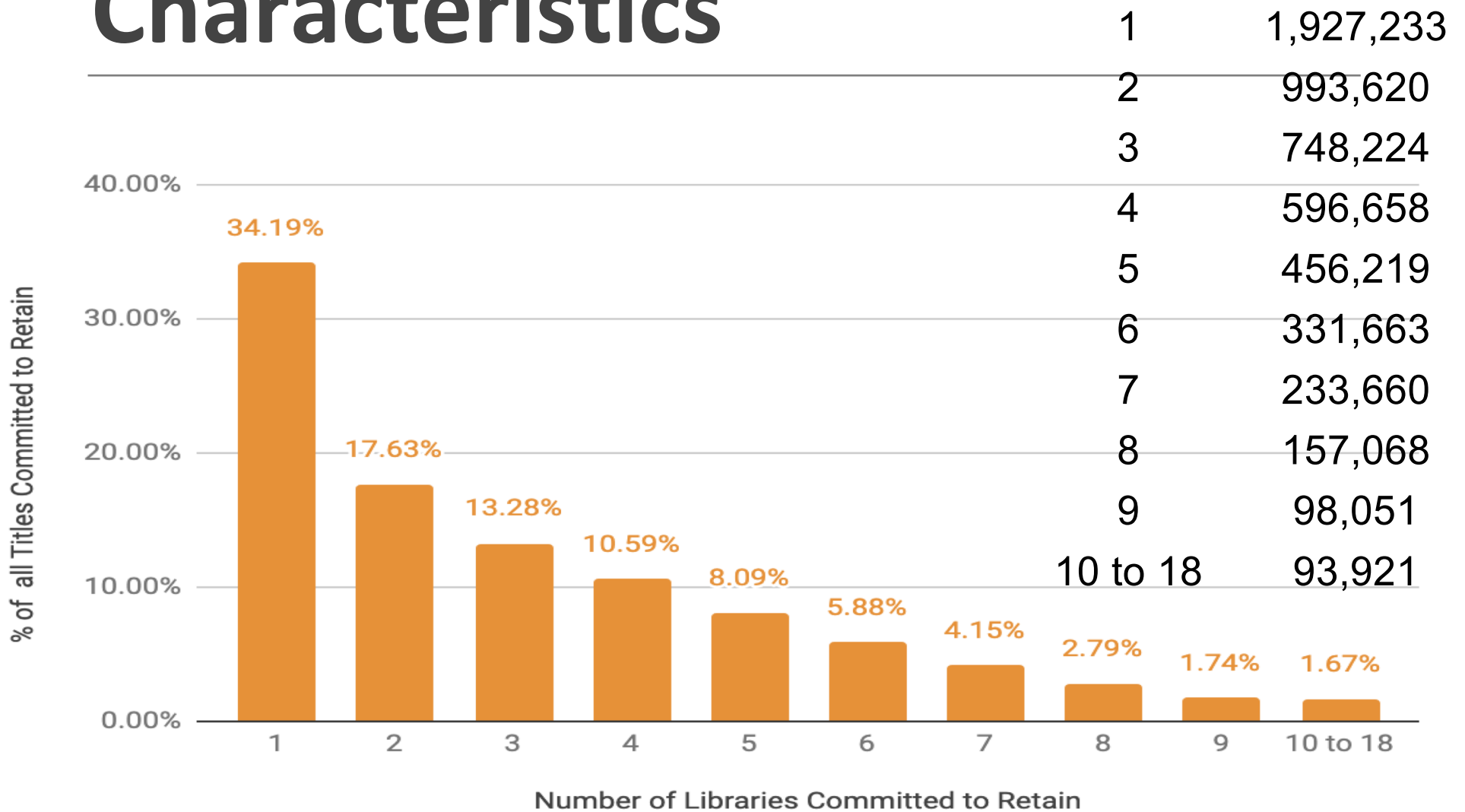


COPPUL	29,078
EAST	378,704
FLARE	135,445
ReCAP	2,351,835
UCSP	53
FDLP	110,894
Keep@Downsview	636619



Committed to Retain Characteristics

Number of Libraries	Number of Titles
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1	1,927,233
2	993,620
3	748,224
4	596,658
5	456,219
6	331,663
7	233,660
8	157,068
9	98,051
10 to 18	93,921



HathiTrust Shared Print Program Future



HathiTrust Shared Print Program - Future

1. New Resource Page for Retention Libraries
2. Ability to Update Shared Print Program Registry Retention Information
3. Expanding Commitments
4. Other Potential Projects and Ideas
5. Disclosure of Commitments



Resources for Retention Libraries

New page for Retention Libraries at
<https://www.hathitrust.org/resources-retention-libraries>

Includes Resources For:

- MOU, Policies, Modifications, Governance, and Funding
- Participating Libraries
- Process for Identifying Commitments
- Shared Print Program Updates, Presentations, Webcasts, and Statistics
- Considering Participation
- Frequently Asked Questions
- Additional Resources for Shared Print



Ability to Update Shared Print Program Registry Retention Information

The following categories can be updated in the HathiTrust Shared Print Registry:

- Status changes: Identify volumes that are lost, damaged, or committed in error
- Data updates: Provide new or updated information for optional data fields

We will also be notifying libraries with deprecated titles as part of this process.



Expanding Commitments

- Transfer commitments to alternative retention libraries when an item has been identified as lost, missing, or damaged
- Identify over and under committed titles
- Secure commitments for rare or unique titles
- Investigate ways to extend print commitments to additional formats
- Discuss partnering with other Shared Print Programs



Other Potential Projects

- Variety of services using shared print data
- Capability to search the shared print registry
- Discovery of shared print commitments in the HathiTrust Digital Library
- Investigate ways to incorporate resource sharing into program



Identifying HathiTrust Shared Print Commitments

Retention Libraries should record information about their commitments in the records for those holdings in the library's local system, to attempt to prevent deselection of these volumes that the library committed to retain. The Disclosure policy and associated Disclosure Guidelines provide details of the metadata that the library should record (see [Operating Policies and Guidelines](#)).

OCLC is developing a service called “shared print registration” that is intended to support simple recording of shared print commitments in WorldCat.

We recommend that HathiTrust Retention Libraries continue to hold off registering their HathiTrust commitments in WorldCat until future developments have been included. We are continuing to monitor development of this new OCLC service, and will report back to you with some additional documentation when we believe it is better able to support your use.



Questions

- Feel free to contact me at anytime at welting@hathitrust.org
- Keep updated by checking out our website at https://www.hathitrust.org/shared_print_program

